

01-08-01

**UTILITY PATENT APPLICATION TRANSMITTAL**

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

53964-0016

**TO THE COMMISSIONER FOR PATENTS:**

JC962 U.S. PTO  
09/754907  
01/04/01

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Sandeep Jain, et al., entitled TRUSTED INTERMEDIARY, for a(n):

- (X) Original Patent Application.  
 Continuing Application (prior application not abandoned):  
 ( ) Continuation     Divisional     Continuation-in-part (CIP)  
 of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_  
 A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

- (X) Specification 35 Total Pages; (X) Drawing(s) 6 Total Sheets; (X) Cover Sheet 1 Page  
 (X) Oath or Declaration: 2 Pages  
 A Newly Executed Combined Declaration and Power of Attorney:  
 (X) Signed.                   Unsigned.                   Partially Signed.  
 A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
 Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the  
 oath or declaration is supplied, is considered as being part of the disclosure of the accompanying  
 application and is hereby incorporated herein by reference.  
 Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  
 (X) Power of Attorney.                   Return Receipt Postcard.  
 Associate Power of Attorney.                   A Check in the amount of \$631.00 for the Filing Fee.  
 Preliminary Amendment.                   Information Disclosure Statement and Form PTO-1449.  
 (X) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
 (X) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.  
 A Certified Copy of Priority Documents (if foreign priority is claimed).  
 (X) **Applicant(s) is entitled to small entity status. See 37 CFR 1.27.**  
 Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.  
 (X) Recordation of Assignment Cover Sheet and **executed** Assignment.  
 (X) Other: Fee Transmittal Sheet

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	24	4	\$9.00	\$ 36.00
Independent Claims	8	5	\$40.00	\$ 200.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$40.00
Basic Filing Fee				\$355.00
			Total Filing Fee	\$ 631.00

Charge \$ 0.00 to Deposit Account 50-1302 pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:   
 Brian D. Hickman, Attorney of Record  
 Reg. No. 35,894

Date: January 4, 2001

Correspondence Address:

Hickman Palermo Truong & Becker LLP  
 1600 Willow Street  
 San Jose, California 95125-5106  
 Telephone: (408) 414-1080  
 Facsimile: (408) 414-1076

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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 Box Patent Application  
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Date of Deposit: January 4, 2001

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# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 AND 1.28*

TOTAL AMOUNT OF PAYMENT	(\$ 631.00)	Attorney Docket No.	53964-0016
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METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																													
1. <input checked="" type="checkbox"/> Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-13002. A duplicate of this sheet is enclosed.				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee</th> <th>Small Entity Fee</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge – late filing fee or oath</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge – late provisional filing fee or cover sheet.</td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td>Extension for reply within second month</td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td>Extension for reply within third month</td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td>128</td> <td>1,890</td> <td>228</td> <td>945</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td>119</td> <td>310</td> <td>219</td> <td>155</td> <td>Notice of Appeal</td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td>135</td> <td>Request for oral hearing</td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive – unavoidable</td> </tr> <tr> <td>141</td> <td>1,210</td> <td>241</td> <td>605</td> <td>Petition to revive – unintentional</td> </tr> <tr> <td>142</td> <td>1,210</td> <td>242</td> <td>605</td> <td>Utility issue fee (or reissue)</td> </tr> <tr> <td>143</td> <td>430</td> <td>243</td> <td>215</td> <td>Design issue fee</td> </tr> <tr> <td>144</td> <td>580</td> <td>244</td> <td>290</td> <td>Plant issue fee</td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> </tr> <tr> <td>126</td> <td>240</td> <td>126</td> <td>240</td> <td>Submission of Information Disclosure Stmt</td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td>146</td> <td>690</td> <td>246</td> <td>345</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> </tr> <tr> <td>149</td> <td>690</td> <td>249</td> <td>345</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td colspan="4">\$40.00</td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td colspan="4"></td> </tr> <tr> <td colspan="4">SUBTOTAL (1) (\$ 355.00)</td> <td colspan="4">SUBTOTAL (3) (\$ 40.00)</td> </tr> <tr> <td colspan="8">*Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td colspan="8">SUBMITTED BY <span style="float: right;">Complete if applicable</span></td> </tr> <tr> <td>Name (Print/Type)</td> <td colspan="3">Brian D. Hickman</td> <td>Registration No. (Attorney/Agent)</td> <td>35,894</td> <td>Telephone</td> <td>(408) 414-1080</td> </tr> <tr> <td>Signature</td> <td colspan="3"></td> <td>Date</td> <td colspan="3">January 4, 2001</td> </tr> </tbody> </table>				Large Entity Fee	Small Entity Fee	Fee Description		Fee Paid	105	130	205	65	Surcharge – late filing fee or oath	127	50	227	25	Surcharge – late provisional filing fee or cover sheet.	139	130	139	130	Non-English specification	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	115	110	215	55	Extension for reply within first month	116	390	216	195	Extension for reply within second month	117	890	217	445	Extension for reply within third month	118	1,390	218	695	Extension for reply within fourth month	128	1,890	228	945	Extension for reply within fifth month	119	310	219	155	Notice of Appeal	120	310	220	155	Filing a brief in support of an appeal	121	270	221	135	Request for oral hearing	138	1,510	138	1,510	Petition to institute a public use proceeding	140	110	240	55	Petition to revive – unavoidable	141	1,210	241	605	Petition to revive – unintentional	142	1,210	242	605	Utility issue fee (or reissue)	143	430	243	215	Design issue fee	144	580	244	290	Plant issue fee	122	130	122	130	Petitions to the Commissioner	123	50	123	50	Petitions related to provisional applications	126	240	126	240	Submission of Information Disclosure Stmt	581	40	581	40	Recording each patent assignment per property (times number of properties)	146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	Other fee (specify) _____				\$40.00				Other fee (specify) _____								SUBTOTAL (1) (\$ 355.00)				SUBTOTAL (3) (\$ 40.00)				*Reduced by Basic Filing Fee Paid								SUBMITTED BY <span style="float: right;">Complete if applicable</span>								Name (Print/Type)	Brian D. 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